FOURTEENTH GUAM LEGISLATURE 1977 (FIRST) Regular Session

CERTIFICATION OF PASSAGE OF AN ACT TO THE GOVERNOR

This is to certify that Bill No. 121, "An Act to add a new Chapter X to Title LXI of the Government Code of Guam relative to creating a certification requirement for operating personnel of water supply systems and waste water facilities," was on the 11th day of May, 1977, duly and regularly passed.

JOSEPH F. ADA
Speaker

ATTESTED:

ERNESTO M. ESPALDON
Legislative Secretary

This Act was received by the Governor this //9th day of May , 1977, at 2.45 o'clock RUTH F. WON PAT Assistant Staff Officer Governor's Office

APPROVED:

RICARDO J. BORDALLO Governor of Guam

DATED:

May 31, 1977

P.L. 14-31

FOURTEENTH GUAM LEGISLATURE 1977 (FIRST) Regular Session

Bill No. 121 (As Amended by the Committee on Health, Welfare & Ecology)

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Introduced by

E. M. Espaldon

AN ACT TO ADD A NEW CHAPTER X TO TITLE LXI OF THE GOVERNMENT CODE OF GUAM RELATIVE TO CREATING A CERTIFICATION REQUIREMENT FOR OPERATING PERSONNEL OF WATER SUPPLY SYSTEMS AND WASTE WATER FACILITIES.

BE IT ENACTED BY THE PEOPLE OF THE TERRITORY OF GUAM:

Section 1. A new Chapter X is hereby added to Title LXI of the Government Code of Guam to read as follows:

"CHAPTER X

WATER AND WASTEWATER OPERATOR'S MANDATORY

CERTIFICATION ACT

Section 57230. Title. This Act shall be known as the 'Water and Wastewater Operator's Mandatory Certification Act'.

Section 57231. Statement of Policy. It is hereby declared to be the public policy of the territory of Guam, in order to conserve and protect our water resources and to prevent pollution of waters of the territory, to classify all public and private potable water supply systems and public and private wastewater facilities and to require examination of operating personnel and certification of their competency to supervise the operation of such systems and facilities.

Section 57232. Definitions.

(a) 'Person' means any individual, partnership, firm, association, joint venture, public or private corporation, trust, estate, commission, board, public

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or private institution, utility, cooperative, municipality or any other political subdivision of this territory, any interstate body, or any other legal entity.

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- (b) 'Operator' means the person in direct responsible charge of the operation of a potable water supply system or wastewater facility, or a major segment of a system or facility.
- (c) 'Direct responsible charge' shall mean, in smaller facilities where shift operation is not required, active daily on-site charge and performance of operation. In larger facilities where shift operation is required, 'direct responsible charge' shall mean both
 - active daily on-site technical direction and supervision, and
 - active daily on-site charge of an operating shift, or a major segment of a system or facility.
- (d) 'Potable water supply system' means the system of pipes, structures, and facilities through which water is obtained, treated and sold, distributed or otherwise offered to the public for household use or any use by humans.
- (e) 'Water treatment plant' means that portion of the potable water supply system which in some way alters the physical, chemical, or bacteriological quality of the water being treated.

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1 (f) 'Water distribution system' means that portion of the potable water supply system in which water 2 3 is stored and conveyed from the water treatment plant 4 or other supply points to the premises of a consumer. 'Wastewater facility(ies)' means the system 6 of pipes, structures, equipment and processes required 7 to collect, carry away and treat domestic and/or 8 industrial wastewater and dispose of the effluent. 9 'Wastewater treatment plant' means that 10 portion of the wastewater facility used in the treat-11 ment of domestic and/or industrial wastewater, 12 including solids handling. 13 'Wastewater collection system' means that 14 portion of the wastewater facilities in which waste-15 water is received from the premises of the discharger 16 and conveyed to the point of treatment. 17 'Administrator' means the Administrator of (j) 31 the Guam Environmental Protection Agency, or his 19 delegated representative. 20 'Board of Certification' means the Board of 21 Directors of the Guam Environmental Protection Agency. 2.2 'Association of Boards of Certification for 23 Operating Personnel in water and wastewater utilities 24 (ABC) means the existing nationally recognized 25 Advisory Association. 26 Section 57233. Classification. The Administrator, as 2.7 per the standards and guidelines approved by the Board of 28 Certification shall classify all potable water systems used 29 or intended for use by the public or private individuals

and all wastewater facilities whether public or private.

The classification shall be made taking due regard to size and type of system or facility, character of water or wastewater to be treated, and other physical conditions affecting such systems and facilities, and according to the skill, knowledge and experience required of an operator.

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Section 57234. Certification. The Administrator, as per the standards and guidelines approved by the Board of Certification shall issue certification entitling qualified persons to supervise the operation of potable water supply systems and wastewater facilities.

Section 57235. Board of Certification. The Board of Certification shall develop minimum standards and guidelines for classification of potable water supply systems and wastewater facilities and for certification of operators, facilitate reciprocity between certification programs, supervise the Administrator in the administration of the certification program and hear the appeals of any person agrieved by the decisions of the Administrator, regarding certification and classification.

Section 57236. Association of Certification
Authorities. The Administrator is authorized when taking action pursuant to Sections 57233, 57234 and 57238 of this Act to consider generally applicable criteria and guidelines developed by the Association of Boards of Certification for Operating Personnel in Water and Wastewater Utilities.

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Section 57237. Certification Requirements. All potable 1 water supply systems and wastewater facilities whether 2 publicly or privately owned, used or intended for use by 3 the public or private persons, must at all times be 4 under the direct supervision of an operator whose competency 5 is certified to by the Administrator in a classification 6 corresponding to the classification of the system or . 7 facilities to be supervised. 8 Section 57238. Regulations. The Administrator, with 9 the approval of the Board of Certification, shall promulgate 10 such rules and regulations as may be necessary for the 11 administration of this Act, and which shall include at 12 least the following provisions: 13 The basis for classification of potable 14 water supply systems and wastewater facilities 1.5 (including the type and size of lessor systems and 16 facilities, if any, to which the provisions of the 17 act shall not apply) as required by Section 57233 1.8 19 of this Act; (b) Criteria for the qualification of applicants 20 for operator certification corresponding to each of 21 22 the classifications referred to in Subsection (a) of this Section; 23 24 (c) Procedures for examination of candidates, and renewal of Certificates; 25 Procedures for the revocation of certificates; 26 27 Determination as to which additional

personnel shall be certified where certification is

required for more than the operator in direct

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responsible charge.

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Section 57239. Prohibited Acts. On or after two (2) years following the effective date of this Act, it shall be unlawful:

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- (a) For any potable water supply system or wastewater facility to be operated unless the operator is duly certified under the provisions of this Act;
- (b) For any person to perform the duties of an operator without being duly certified under the provisions of this Act.

Section 57240. Injunctions and Civil Penalty. Any person who violates or is about to violate any provision of this Act, or any rule, regulation, criterion, procedure or certificate issued hereunder may, in a legal action commenced by the Administrator, with the approval of the Board of Certification:

- (a) be enjoined from continuing or commencing such violation; and
- (b) be subject to a civil penalty not to exceed One Thousand Dollars (\$1,000) per day of continuing violation.

Section 57241. Criminal Penalties.

(a) Except as provided in Subsection (b) of this Section, any person who knowingly and willfully violates Section 57239 of this Act shall upon conviction be punished by a fine of not more than One Thousand Dollars (\$1,000) per day of violation or imprisonment for not more than six (6) months, or by both.

(b) Any person who knowingly makes any false statements, representation, or certification in any application, record report, plan, or other document filed or required to be maintained under this Act, or by any rule or regulation promulgated under this Act, shall upon conviction, be punished by a fine of not more than Five Hundred Dollars (\$500) or by imprisonment for not more than six (6) months, or by both. Section 57242. Administration. To carry out the provisions and purposes of this Act, the Administrator is authorized and empowered to:

- (a) Perform any and all acts and adopt, revise, promulgate and rescind such rules and regulations as he may find necessary or appropriate, with the consent of the Board of Certification and in conformance with the Administrative Adjudication Act;
- (b) Enter into agreements, contracts, or cooperative arrangements, under such terms and conditions as he deems appropriate with other States, Federal or interstate agencies, municipalities, educational institutions, or other organizations or individuals;
- (c) Receive financial and technical assistance from the Federal Government and other public or private agencies;
- (d) Participate in related programs of the Federal Government, other states, interstate agencies, or other public or private agencies or organizations;
- (e) Upon request, furnish Federal, territorial or interstate agencies, municipalities, educational institutions and other organizations and individuals, reports, information and materials relating to the certification program authorized by this Act;

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(f) Establish adequate fiscal controls and accounting procedures to assure proper disbursement of and accounting for funds appropriated or otherwise provided for the purpose of carrying out the provisions of this Act;

- (g) The Administrator may delegate those duties and responsibilities as deemed appropriate for the purpose of administering requirements of this Act;
- (h) The Administrator may establish and collect such fees for conducting examinations and issuing or renewing certificates as are necessary for the support of this Act.

Section 57243. Reciprocity.

- (a) On or after the effective date of this Act, certification of operators by any State which, as determined by the Administrator, accepts certification made or certification requirement, deemed satisfied pursuant to the provisions of this Act, shall be accorded reciprocal treatment and shall be recognized as valid and sufficient within the purview of this Act, if in the judgment of the Administrator the certification requirements of such state are substantially equivalent to the requirements of this Act or any rules and regulations promulgated hereunder.
- (b) In making determinations pursuant to Subsection (a) of this Section, the Administrator shall consult with the Board of Certification and may consider any generally applicable criteria and guidelines developed by the Association of Boards of Certification for Operating Personnel in Water and Wastewater Utilities.

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Section 57244. Repealer. The provisions of this Act are intended to supercede existing laws of this territory insofar as they relate to the matters included in this Act.

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Section 57245. Severability. The provisions of this Act are severable. If any provisions of this Act or the application thereof to any person or circumstance is held invalid, the invalidity shall not effect other provisions or applications of this Act which can be given effect without regard to the invalid provision or application."

Section 2. On the effective date of this Act, all employees engaged in the operation of public and private water and wastewater facilities shall be certified by the Administrator to perform the level of plant operations, as established by this Act, that they are performing on such effective date; provided the certification is valid for two (2) years from the effective date of this Act; provided, that no certification requirements pursuant to Section 57237 shall be required for a period of two (2) years following the effective date of this Act.