### I MINA'TRENTA NA LIHESLATURAN GUÅHAN 2009 (FIRST) REGULAR SESSION

Bill No. 92(COR)

Introduced by:

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J.P. GUTHERTZ A.B. PALACIOS A

R.J. RESPICIO

AN ACT TO RESTORE CERTAIN JURISDICTIONS AND CLARIFY CERTAIN FUNCTIONS OF THE CIVIL SERVICE COMMISSION BY REPEALING AND REENACTING TITLE 4 GCA §§ 4403, 4408, 4409, AND 5105; SUBITEMS (b) AND (c) OF TITLE 4 GCA § 4405; AND NEW §§ 4410 AND 4411

#### BE IT ENACTED BY I LIHESLATURAN GUÅHAN:

2 Section 1. Legislative Findings and Intent. I Liheslatura finds that the

3 passage of Public Law 28-68 resulted in most of the non-adjudicatory

4 functions of the Civil Service Commission (CSC) being repealed entirely or

5 transferred to the Director of the Department of Administration (DOA);

one major function being the administration of compensation and

classification for government of Guam employees.

8 Much confusion was also created by P.L. 28-68, specifically whether

investigations performed by the CSC are considered adjudicatory or non-

adjudicatory, and have resulted in "Whistle-Blower" complaints and

furlough appeals remaining in limbo. Some other check-and-balance

functions once performed by the CSC were eliminated by Public Law 28-68

and have resulted in a lack of uniformity in the application of the merit

- 1 system and some questionable employment practices, including the post-
- 2 audit review and the full null and void authority. Several GovGuam
- 3 departments, including the Guam Memorial Hospital Authority, Guam
- 4 Power Authority, and Guam Waterworks Authority, have expressed their
- 5 desire for the Civil Service Commission to have the jurisdiction to hear
- 6 certain appeals of their employees.
- 7 I Liheslatura now finds the restoration of jurisdiction and clarification
- 8 of certain functions of the CSC are necessary and will improve the merit
- 9 system and better fulfill the requirements of Section 1422c of the Organic
- 10 Act which states:
- 11 "The Legislature shall establish a merit system and, as far as
- practicable, appointments and promotions shall be made in
- accordance with such merit system. The Government of Guam
- may by law establish a Civil Service Commission to administer
- the merit system."
- Therefore, it is the intent of the *I Liheslaturan Guåhan* to make the
- 17 amendments herein in an effort to restore jurisdiction to the CSC to the
- 18 extent necessary to administer the merit system and to eliminate the strict
- 19 demarcation of adjudicatory versus non-adjudicatory functions imposed by
- 20 Public 28-68 and other laws.

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Section 2. Title 4 GCA § 4403 is repealed and reenacted to read:

### "§ 4403. Duties of the Commission.

The Commission has the following duties, powers, and responsibilities:

- (a) It shall investigate conditions of government employment as it deems necessary and report findings and recommendations to the Governor and Legislature annually and also post such reports on the Commission's website;
- (b) It shall hear appeals from the adverse actions taken to suspend, demote, or dismiss an employee from the classified service if such right of appeal to the Commission is established in the personnel rules governing the employee; however, it may not hear any appeal of an action taken to suspend, demote, or dismiss an employee of the Government of Guam who has not been hired through the competitive hiring procedures of the personnel rules of the Government of Guam, as such personnel rules required at the time of the hiring of the employee, nor any unclassified employee;
- (c) To hear appeals of furloughs, lay-offs, grievance complaints, and Equal Employment Opportunity complaints of an employee from the classified service if such right of appeal to the Commission is established in the personnel rules

governing the employee. The Commission shall adopt rules and procedures for furlough appeals, lay-off appeals, grievance complaint appeals, and Equal Employment Opportunity complaint appeals;

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(d) The Commission may investigate and set aside and declare null and void any personnel action of an employee in the classified service if the Commission finds after conducting the necessary investigation that the personnel action was taken in violation of personnel laws or rules, provided, however, that this Section shall not be deemed to permit appeals by employees from adverse actions not covered in Subsection (b) above. The agency head shall cooperate and assist with the Commission's investigation. Prior to declaring any personnel action null and void, the Commission shall provide written notice of the alleged violation to the agency head. The agency head shall respond within ten (10) calendar days after receipt of the notice to the Commission's proposed action. All actions taken by the Commission pursuant to this Section shall be taken within one-hundred-eighty (180) calendar days after the personnel action or complaint is filed with the Commission. The Civil Service Commission shall submit a copy of its final

decision to *I Liheslatura* by the next working day. The Commission shall adopt rules and regulations to effectuate this Section, including the requirement of a written complaint to initiate any investigation. The Commission's decision shall be final but subject to judicial review;

- (e) It shall conduct reviews of notices of personnel actions of employees from the classified service. All notices of personnel actions regarding the classified service shall be filed with the Commission within twenty (20) calendar days after their effective date. Failure to submit the required notices of personnel actions within ten (10) calendar days after written request by the Commission will immediately result in a ten percent (10%) salary reduction of the agency head and his deputy until compliance is made. The Civil Service Commission shall submit a copy of its written request to the agency head and his deputy for compliance with this Section to *I Liheslatura* by the next working day;
- (f) The jurisdiction of the Commission shall also apply to the adverse action appeals of certified, technical, and professional personnel of the Guam Power Authority and Guam Waterworks Authority; the jurisdiction of the

Commission shall apply to all classified personnel of the Guam Memorial Hospital Authority;

- (g) The provisions of this Section shall not apply to the Judiciary or *I Liheslaturan Guåhan* in compliance with the doctrine of the Separation of Powers, unless such separate branch of government opts to make them applicable by submitting to the jurisdiction of the Commission; and all references to classified employees will be deemed to mean classified employees of the Executive Branch, including agencies and authorities;
- (h) The jurisdiction of the Commission shall not extend to academic personnel of the Guam Community College and the University of Guam, except upon mutual consent by the governing board of the respective institution and the Commission, nor to any position or person, appeal or proceeding of whatever kind or description if the position is denominated "unclassified" in this Title, except to the extent explicitly permitted in this Section; and
- (i) The jurisdiction of the Commission shall extend to investigating Public Employee Protection Act complaints (a.k.a.

1	"whistle-blower" complaints) pursuant to 4 GCA § 4501 et							
2	seq."							
3	Section 3. Title 4 GCA § 4406 is amended by adding the following at							
4	the end of the existing subsection:							
5	"The party who appeals the Commission's decision to the							
6	court is responsible for providing certified transcripts of							
7	hearings and shall bear associated costs."							
8	Section 4. Title 4 GCA § 4408 is repealed and reenacted to read:							
9	"§ 4408. Enforcement of Orders.							
10	The Civil Service Commission may seek enforcement of its							
11	decisions and orders in all matters permitted by this Title by							
12	application to the Superior Court of Guam for the appropriate							
13	remedy. In seeking enforcement of its decisions and orders, the							
14	application for enforcement shall be entitled, "The Civil Service							
15	Commission v. (department, agency, instrumentality, or officer)."							
16	No enforcement shall be commenced against any department head							
17	or other employee or officer of the Government of Guam in his							
18	personal capacity."							
19	Section 5. Title 4 GCA § 4409 is repealed and reenacted to read:							
20	"§ 4409. Administrative Adjudication Act Not Applicable.							

Neither the rules of the Civil Service Commission, nor any procedures prescribed thereunder are subject to the Administrative Adjudication Act. The Commission shall post on its website and provide a copy of its proposed rules or procedures and any amendments thereafter to *I Liheslaturan Guåhan*, and such rules or procedures shall take effect thirty (30) calendar days after submission unless disapproved by a majority of the members of *I Liheslaturan Guåhan*. Copies of effective rules and procedures shall be made available on the Commission's website."

# Section 6. Subitems (b) and (c) of Title 4 GCA § 4405 are repealed and reenacted to read:

- "(b) The executive director may, with Commission approval, hire such professional, clerical, and other staff as may be deemed necessary to assist the Commission in performing its duties and functions;
- (c) The Commission may employ an Administrative Law Judge (ALJ), full or part-time, to assist the Commission with the adjudicatory responsibilities, or the Commission may retain a private practice attorney or attorneys to serve as an ALJ on a case-by-case basis. A decision by the ALJ shall be forwarded for review to the Commission, which shall accept or reject in its entirety, the ALJ's

decision.	The	ALJ	shall	follow	procedures	approved	by	the
Commissi	ion. T	he AL	J must	be a Gua	am-licensed a	ttorney."		

#### Section 7. A new Title 4 GCA § 4410 is added as follows:

# "§ 4410. Authority to Slot On-Going Cases into Open Hearing Dates.

"The Commission shall have the authority to slot an on-going scheduled hearing into an open hearing date should a sooner hearing date become available provided that: 1) Both sides agree; and 2) The original public notice for the initial hearing met the requirements of the Open Government Act. The public notice requirement of the Open Government Act shall be deemed met for purposes of on-going scheduled hearings relative to this Section if the original initial hearing satisfied such requirements."

## Section 8. A new 4 GCA § 4411 is added to read:

# **"§ 4411. Civil Service Commission Revolving Fund.**

- (a) There is hereby created the Civil Service Commission Revolving Fund, which shall be accounted for separately from the General Fund. Monies in the revolving fund shall not lapse at the end of a fiscal year but shall roll-over until used by the Commission.
- (b) All monies received either as payment for Commission publications, photocopying fees, training, presentations, or other

activities authorized by the Board shall be deposited into the Revolving Fund. Expenditure authority over the revolving fund is vested in the chair of the Commission.

(c) Moneys in the Revolving Fund shall be used to pay for printing or photocopying supplies of the Commission, related costs for creating or compiling Commission publications in electronic media/format, materials for training, training of Commission staff, and membership dues for professional organizations relevant to the Commission. Moneys in the revolving fund shall not be used to pay any personnel expenses."

### Section 9. Title 4 GCA § 5105 is repealed and reenacted to read:

## "§ 5105. Investigations by Civil Service Commission.

When any person has reason to believe that an employee has violated § 5103 he shall report the matter to the Civil Service Commission. On receipt of such report or on receipt of such other information which seems to the Commission to warrant an investigation, the Commission shall investigate the matter in accordance with the provisions of the rules and procedures established by the Civil Service Commission and the following:

(a) All hearings shall be conducted before the entire Commission; and

1 (b) The decision of the Commission shall include a
2 determination of whether a violation of § 5103 has occurred and
3 whether a violation warrants the removal of the employee from
4 his employment."

Section 10. Global Amendment. The Guam Compiler of Laws shall change all references to the "Civil Service Commission" in the Guam Procurement Law, codified in Chapter 5 of Title 5 of the Guam Code Annotated, to "Office of the Public Auditor."

Section 11. Savings Clause. This Act and any repealer contained herein shall not be construed as affecting any existing right acquired under contract or acquired under statutes repealed or under any rule, regulation or order adopted under the statutes. Repealers contained in this Act shall not affect any proceeding instituted under or pursuant to prior law. The enactment of this Act shall not have the effect of terminating, or in any way modifying, any liability, civil or criminal, which shall already be in existence on the date this Act becomes effective.

**Section 12. Effective Date.** This Act shall take effect upon its enactment into law.

**Section 13. Severability.** If any provision of this Act or the application of any such provision to any person or circumstance should be held invalid by a court of competent jurisdiction, the remainder of this Act

- 1 or the application of its provisions to persons or circumstances other than
- 2 those to which it is held invalid shall not be affected thereby.

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